

30

N.E.  
J.S.

Please amend the drawings as indicated below:

**IN THE DRAWINGS:**

On page 1, at line 3 of the first paragraph, after "This" insert - is a continuation of Application Serial No. 07/756,956 filed September 9, 1991, and entitled "Telephonic-Interface Lottery System", now U.S. Patent No. 5,365,575 which-

**IN THE SPECIFICATION:**

Prior to examination please amend the above-identified application as follows:

Sir:  
Commissioner of Patents  
and Trademarks  
Washington, D. C. 20231

707 Wilshire Blvd., 32nd Floor  
Los Angeles, CA 90017  
November 1, 1994

**PRELIMINARY AMENDMENT**

Applicant:	Ronald A. Katz	)	Examiner in Patent:	S. Woo	)
Serial No.:	08/306,650	)	Art Unit:	2608	)
Filed:	September 14, 1994	)			)
For:	TELEPHONIC-INTERFACE LOTTERY SYSTEM	)			)
New Docket No.:	6646-101NS	)			)
	(previously 4646-101NS)	)			)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

PATENTS

*[Handwritten signature]*  
#3 10-2-94

703 305 9508/ 8718

ALROY 7033-

SENT BY: NILSSON, WURST, & GREEN 11:57PM

In FIGURE 2, replace the designation "C1" with --S1-- and extend the lead line from reference designation "S2" to the area designated by the reference designation "UN."

For the Examiner's convenience the above amendments to the drawings are clearly indicated on the attached copy of FIGURE 2. A substitute copy of FIGURE 2 reflecting the amendments as they will be implemented in the formal drawings is also attached. Approval of the above-noted drawing amendments is respectfully requested.

IN THE CLAIMS:

Please cancel claim 1, without prejudice and add the following new claims 24-44, (numbered consecutively from the parent case U.S. Serial No. 07/756,956).

1 ~~24. A method for conducting a telephonic-interface ticket~~  
2 ~~control operation for use with a communication facility including~~  
3 ~~remote terminal apparatus for individual callers, wherein said~~  
4 ~~remote terminal apparatus may comprise a conventional telephone~~  
5 ~~instrument including voice communication means, and digital input~~  
6 ~~means in the form of an array of alphabetic numeric buttons for~~  
7 ~~providing identification data, comprising the steps of:~~  
8 ~~assigning a predetermined limit on access to an~~  
9 ~~interactive call processing format;~~  
10 ~~providing an identification number on a ticket, said~~  
11 ~~identification number entered by each individual caller via~~

B

12 ~~said digital input means to access said interactive call~~  
13 ~~processing format until said predetermined limit is reached;~~  
14 ~~storage means for storing an extent of use for said~~  
Sub 15 ~~identification number entered by each individual caller; and~~  
C<sub>1</sub> 16 ~~testing said extent of use against said predetermined~~  
17 ~~limit on access to determine if said predetermined limit on~~  
18 ~~access is reached.~~

Sub 1 --25. A method for conducting a telephonic-interface ticket  
D<sub>2</sub> 2 control operation as defined in claim 24, further comprising the  
3 step of:  
B<sub>2</sub> 4  
C<sub>2</sub> 5

6 providing DNIS capability to provide digital  
7 identification data indicating a called number.--

1 --26. A method for conducting a telephonic-interface ticket  
2 control operation as defined in claim 25, wherein said called  
3 number is indicative of a select one of a plurality of different  
4 operating formats.--

1 --27. A method for conducting a telephonic-interface ticket  
2 control operation as defined in claim 24, further comprising:  
3 testing said identification number with a check digit  
4 test.--

Sub 1 --28. A method for conducting a telephonic-interface ticket  
C<sub>2</sub> 2 control operation as defined in claim 24, further comprising the

3 step of:

4 testing said identification number based on  
5 ~~entitlement.--~~

1 --29. A method for conducting a telephonic-interface ticket  
2 control operation as defined in claim 24, further comprising the  
3 step of:

4 concealing at least a portion of said identification  
5 number.--

1 --30. A method for conducting a telephonic-interface ticket  
2 control operation as defined in claim 24, further comprising the  
3 step of:

4 recording the date and time at which each call  
5 occurs.--

1 --31. A method for conducting a telephonic-interface ticket  
2 control operation as defined in claim 24, further comprising the  
3 step of:

4 providing a bar code indicia on said ticket.--

1 --32. A method for conducting a telephonic-interface ticket  
2 control operation as defined in claim 24, further comprising the  
3 step of:

4 providing additional numerical indicia on said  
5 ticket.--

sub  
D3  
1 --33. A method for conducting a telephonic-interface ticket  
2 control operation as defined in claim 24, further comprising the  
3 step of:

4 recording additional personal identification data  
5 provided by the caller.--

1 --34. A method for conducting a telephonic-interface ticket  
2 control operation as defined in claim 24, further comprising the  
3 step of:

4 recording said caller's credit card number.--

1 --35. A method for conducting a telephonic-interface ticket  
2 control operation as defined in claim 24, further comprising the  
3 step of:

4 recording at least two separate types of caller  
5 provided identification data.--

1 --36. A method for conducting a telephonic-interface ticket  
2 control operation as defined in claim 24, further comprising the  
3 step of:

4 processing said identification number online.--

sub  
D4  
1 --37. A method for conducting a telephonic-interface ticket  
2 control operation as defined in claim 24, further comprising the  
3 step of:

4 providing visual indicia on said ticket illustrative of

5 a specific promotion from a plurality of promotions.--

1 ~~--38. A telephonic-interface ticket control system for use~~  
2 with a communication facility including remote terminal apparatus  
3 for callers to call, wherein said remote terminal apparatus may  
4 comprise a conventional telephone instrument including voice  
5 communication means, and digital input means in the form of an  
6 array of alphabetic numeric buttons for providing identification  
7 data, said telephonic-interface ticket control system comprising:

8 interface means coupled to said communication facility  
9 to interface said remote terminal apparatus for voice and  
10 digital communication with said individual callers;

11 voice generator means coupled through said interface  
12 means for providing vocal instructions to an individual  
13 caller to enter identification data;

14 memory means coupled to said interface means for  
15 storing said identification data and an extent of use by  
16 said individual callers; and

17 qualification means coupled to said interface means for  
18 limiting access to said ticket control system based on said  
19 extent of use by said individual callers.--

1 --39. A telephonic-interface ticket control system  
2 according to claim 38, wherein DNIS identifies a called number  
3 ~~from a plurality of called numbers.--~~

1 *Sub E4* --40. A telephonic-interface ticket control system  
2 according to claim 39, wherein said plurality of called numbers  
3 are indicative of a plurality of different operating formats.--

*sub D5* 1 --41. A telephonic-interface ticket control system  
2 according to claim 38, wherein said qualification means utilizes  
3 a look-up table to determine if said limited access is reached.--

*B2*  
*Con* 1 --42. A telephonic-interface ticket control system  
2 according to claim 38, wherein said qualification means limits  
3 access to a one time use only.--

*sub D6* 1 --43. A telephonic-interface ticket control system  
2 according to claim 38, further comprising:  
3 means for generating sequence data for each individual  
4 caller.--

1 --44. A telephonic-interface ticket control system according  
2 to claim 38, further comprising:  
*sub* 3 means for recording data with respect to the date and  
*C4* 4 time at which each call occurs.--

#### REMARKS

By this preliminary amendment, the foregoing amendments to the specification, drawings and claims are respectfully requested. The amendments to the specification provide a cross-

reference with the parent application. The amendments to the drawings are consistent with the specification at page 20, line 6. In addition, claim 1 is cancelled and claims 24-44 (numbered consecutively from the claims as originally filed in the parent application, U.S. Serial No. 07,756,956). New claims 24-44 are directed to a method for conducting a telephonic-interface ticket control system operation and to a telephonic-interface ticket control system with additional combinations of inventive features fully supported by the specification as originally filed.

An information disclosure statement is submitted contemporaneously herewith (by mail) for the Examiner's consideration. The documents cited in the information disclosure statement are deemed clearly distinct from the claims in the present application as noted by the distinctions presented therein.

Favorable consideration and allowance of claims 24-44 is respectfully requested.

Respectfully submitted,  
NILSSON, WURST & GREEN

By:

*Reena Kuyper*  
Reena Kuyper  
Registration No. 33,830

(213) 243-8000  
Our Docket No. 6646-101N5

6646-101N5.PRE



NILSSON, WURST  
& GREEN-ATTYS.  
DKT: 1646-10103 6646-10115  
SHT. 2 OF 6

*amplified*

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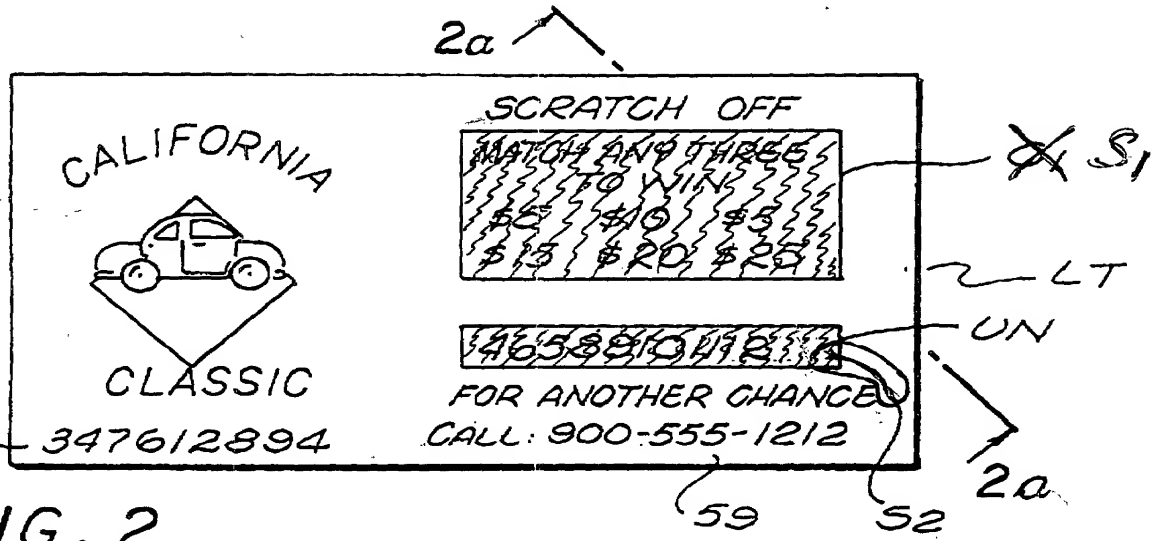


FIG. 2

FIG. 2a

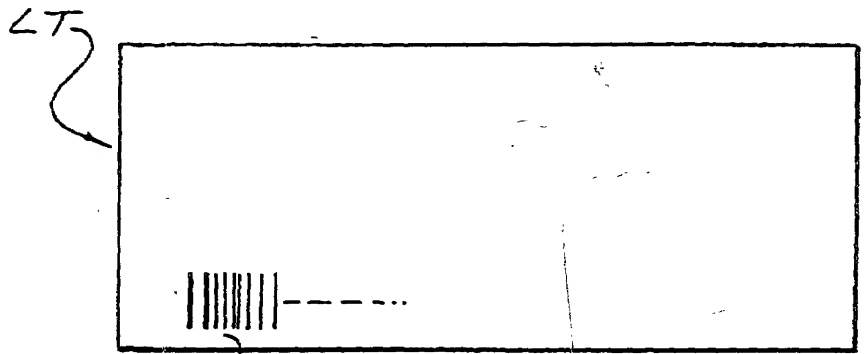
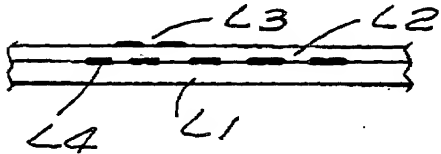


FIG. 5

CALLERS TELEPHONE NUMBER	DATA: AGE, DRIVER'S LICENSE #, CREDIT CARD # OR SOCIAL SECURITY #	DATE AND TIME	SEQUENCE NUMBER	LOTTERY/UN NUMBER
627-2222	21, C308050	AUG. 18, 1:30am	4951	465789101112

PRIZE AMOUNT TYPE	ASSIGNED DESIGNATION	ACKNOWLEDGE DIGITS
	4951684	6173

FIG. 7

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NILSSON, WURST  
& GREEN-ATTYS.  
DKT: 6646-101N5  
SHT. 2 OF 6

003650 094400

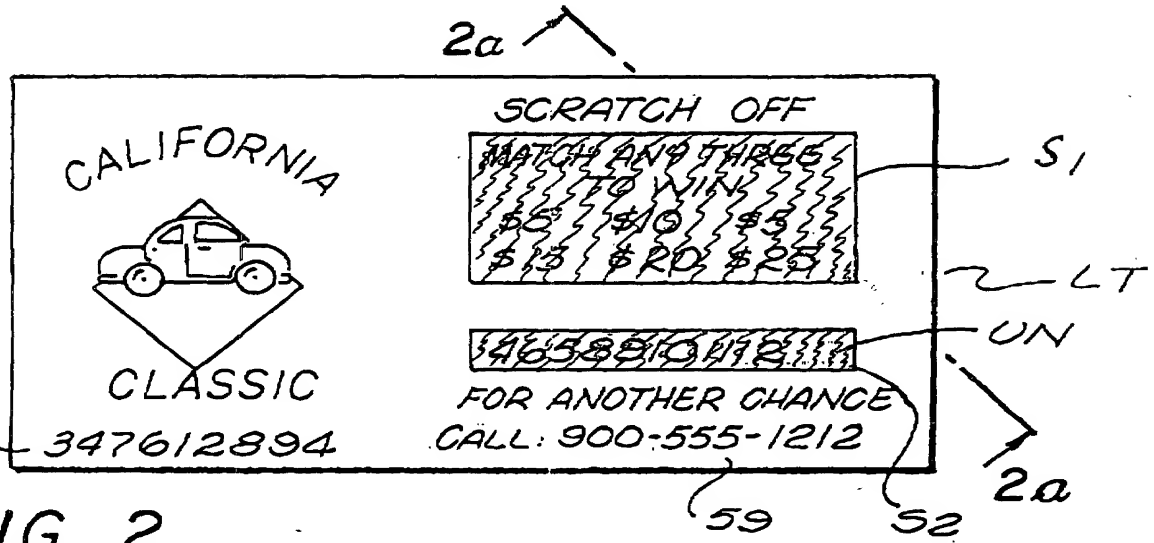


FIG. 2

FIG. 2a

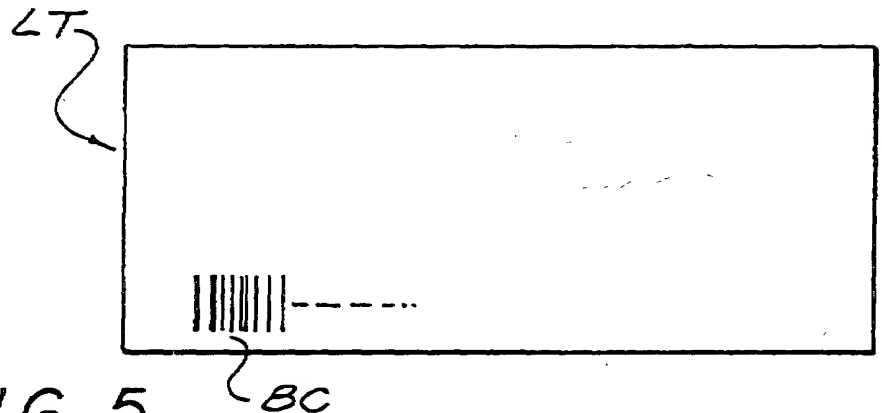
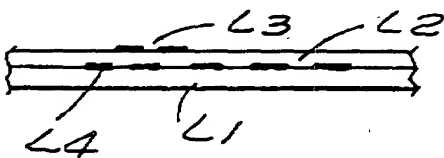


FIG. 5

CALLERS TELEPHONE NUMBER	DATA: AGE, DRIVER'S LICENSE #, CREDIT CARD # OR SOCIAL SECURITY #	DATE AND TIME	SEQUENCE NUMBER	LOTTERY/UN NUMBER
627-2222	21,C308050	AUG.18,1:30am	4951	46578910112
PRIZE AMOUNT TYPE			ASSIGNED DESIGNATION	ACKNOWLEDGE DIGITS
4951684			6173	

FIG. 7

FIG. 7

Law Offices of  
NILSSON, WURST & GREEN  
707 Wilshire Boulevard, 32nd Floor  
Los Angeles, CA 90017

TELEPHONE: (213) 243-8000  
FACSIMILE: (213) 243-8050

2008

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GROUP 2608

FAX NUMBER: (703) 305-9508

FROM: REENA KUYPER, REG. NO. 33,830

DATE: November 1, 1994

SUBJECT: 08/306,650

OUR DOCKET NUMBER: 6646-101N5

CS/306650

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Please see the attached.

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PTO PARK 2

PATENTSIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: RONALD A. KATZ )  
Serial No.: 08/306,650 ) Examiner in Parent:  
Filed: September 14, 1994 ) S. Woo  
For: TELEPHONIC-INTERFACE ) Art Unit: 2608  
LOTTERY SYSTEM )  
Docket No.: 6646-101N5 )  
(previously 4646-101N5) )

CERTIFICATE OF FACSIMILE TRANSMISSION

707 Wilshire Blvd., 32nd Floor  
Los Angeles, CA 90017  
November 1, 1994

Commissioner of Patents  
and Trademarks  
Washington, D. C. 20231

I hereby certify that the following papers are being  
transmitted by facsimile to the U.S. Patent and Trademark Office  
on the date shown below:

1. Transmittal Letter (2 pages, in triplicate)
2. Supplemental Preliminary Amendment (8 pages)
3. Drawing Sheet 2 of 6 (indicating the change)
4. Drawing Sheet 2 of 6 (substitute sheet)

November 1, 1994  
Date

Reena Kuyper  
Reena Kuyper, Reg. No. 33,830

6646(101n5).fx1

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PTO PARK 2

**PATENTS****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant:	Ronald A. Katz	)	
Serial No.:	08/306,650	)	Examiner in Parent:
Filed:	September 14, 1994	)	S. Woo
For:	TELEPHONIC-INTERFACE	)	Art Unit: 2608
	LOTTERY SYSTEM	)	
New Docket No.:	6646-101N5	)	
	(previously 4646-101N5)	)	

**TRANSMITTAL LETTER**

November 1, 1994  
 707 Wilshire Blvd. - 32nd Floor  
 Los Angeles, CA 90017

Commissioner of Patents  
 and Trademarks  
 Washington, D. C. 20231

Sir:

Transmitted herewith is a supplemental preliminary  
 amendment in the above-identified application.

The fee for the claims has been calculated as shown:

	Claims Remaining After Amendment		Highest Number Previously Paid For		Extra Present	Rate			Additional Fee
						Small Entity	Large Entity		
Total	21	-	20	=	1	x 10	x 22	=	\$ 22
Indep.	2	-	3	=	0	x 36	x 76	=	\$ 0
1st presentation of multiple dep. claim + 120 + 240 =									\$
Total additional fee									\$ 22

Please charge the fee in the amount of \$22 and any additional fees in connection with this communication, including any filing fees under 37 C.F.R. §1.16 for the presentation of extra claims and any patent application processing fees under 37 C.F.R. §1.17, or credit any overpayment, to Deposit Account 14-1105. This transmittal letter is provided in triplicate for that purpose.

Respectfully submitted,  
NILSSON, WURST & GREEN

By:

Reena Kuyper

Reena Kuyper  
Registration No. 33,830

(213) 243-8000  
Our Docket No. 6646-101N5

6646\101NS.41